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# **DISTRIBUTED SYSTEMS II**

# **FAULT-TOLERANT BROADCAST**

## Broadcast



#### Fault-Tolerant Broadcast

## Terminology:

- broadcast(m) a process broadcasts a message to the others
- *deliver(m)* a process delivers a message to itself

# **Broadcast abstractions**



# Modules of a process



#### **Broadcast**

## Models:

- Synchronous vs. asynchronous
- Types of process failures
- Types of communication failures
- Network topology
- Deterministic vs. randomized



#### Three conditions

- Agreement: all correct processes eventually deliver same set of messages
- Validity: set of messages delivered by correct processes includes all messages broadcasted by correct processes
- Integrity: each correct process P delivers a message from correct process Q at most once, and only if Q actually broadcasted it

What about faulty processes?

Definition: A property is uniform if faulty processes satisfy it as well.

- Uniform agreement.
  - If a process (correct or faulty) delivers m, then all correct processes eventually deliver m.
- Uniform integrity:
  - For every broadcasted message m, every process (correct or not) delivers m at most once, and only if some process has broadcasted m

# Reliable broadcast



# Uniform reliable broadcast



How can we implement Reliable Broadcast?

## Model

- Asynchronous
- Benign process and link failures only
- No network partitions

Assume we have send(m) and receive(m) primitives

- Transmit and send messages across a link
- If P sends m to Q, and link correct, then Q eventually receives m
- For all m, Q receives m at most once from P, and
- only if P actually sent m

## Reliability of one-to-one communication

#### • validity:

- any message in the outgoing message buffer is eventually delivered to the incoming message buffer;
- integrity:
  - the message received is identical to one sent, and no messages are delivered twice.

#### How do we achieve validity and integrity?

#### • validity:

- any message in the outgoing message buffer is eventually delivered to the incoming message buffer;
- integrity:
  - the message received is identical to one sent, and no messages are delivered twice.

validity - by use of acknowledgements and retries

#### integrity

- by use checksums, reject duplicates (e.g. due to retries).
- If allowing for malicious users, use security techniques

#### **Reliable Broadcast**

R-broadcast(m) uniquely tag m with sender and sequence number send(m) to all neighbours (including self) end R-broadcast

R-deliver(m) upon receive(m) do if i have not already delivered m then if I am not the sender of m then send m to all neighbours endif deliver(m) endif

end R-deliver

#### In an asynchronous system

- Where every two correct processes are connected via a path that never fails,
- the previous algorithm implements reliable broadcast with uniform integrity:
  - For every broadcasted message m,
  - every process (correct or not) delivers m at most once, and
  - only if some process broadcast m.

# Algorithm idea (rb)





• Prove Agreement, Validity and Integrity

#### In an asynchronous system

- where every two correct processes are connected via a path that never fails, and
- only receive omissions occur,
- then the algorithm satisfies uniform agreement:
  - If a process (correct or faulty) delivers m,
  - then all correct processes eventually deliver m.



• Extend the previous Proof for Uniform Agreement



- So far, we did not consider ordering among messages; In particular, we considered messages to be independent
- Two messages from the same process might not be delivered in the order they were broadcast

### Limitations of FIFO Broadcast

#### Scenario:

- User A broadcasts a message to a mailing list/Board
- B delivers that article
- B broadcasts reply
- C delivers B's response without A's original message
- and misinterprets the message

# Intuitions

- □ A message m1 that causes a message m2 might be delivered by some process after m2
- Causal broadcast alleviates the need for the application to deal with such dependencies

# FIFO ?



#### **FIFO Broadcast**

- Same as reliable, plus
- All messages broadcast by same sender delivered in order sent

#### **FIFO Broadcast**

```
msgBag=0
Next[Q]=1 for all processes Q
```

```
F-broadcast(m)
R-broadcast(m)
```

```
F-deliver(m)

upon R-deliver(m) do

Q := sender(m)

msgBag := msgBagU{m}

while (<sup>3</sup> m´ in msgBag : sender(m´)=Q and seq (m´) = next[Q]) do

F-deliver(m´)

next[Q] := next[Q]+1

msgBag:=msqBag-{m´}

endwhile
```

Theorem 1: Given a reliable broadcast algorithm this algorithm is uniform FIFO.

TODO: Prove it.

Theorem 2: if the reliable broadcast algorithm satisfies uniform agreement, so does this algorithm.

TODO: Prove it.

# Causality ?



#### **Causal Broadcast**

#### prevDel is

sequence of messages that P C-delivered since its last C-broadcast

```
C-broadcast(m)
F-broadcast(prevDel●m)
prevDel:=Ø
```

C-deliever(m) upon F-deliever(m1,m2,...,ml) do for i in 1..l do if P has not previously C-delivered mi then C-deliver(mi) prevDel:=prevDel•mi Theorem 1: If the FIFO broadcast algorithm is Uniform FIFO, this is a uniform causal broadcast algorithm.

Theorem 2: if the FIFO broadcast satisfies Uniform Agreement, so does this one.

Causal broadcast does not impose any order on unrelated messages.

Two correct processes can deliver operations/request in different order.

#### Total, FIFO and causal ordering of multicast messages



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Requires that all correct processes deliver all messages in the same order.

Implies that all correct processes see the same view of the world.

Theorem: Atomic broadcast is impossible in asynchronous systems.

Equivalent to consensus problem.

#### **Review of Consensus**



Theorem: Consensus is impossible in any asynchronous system if one process can halt. [Fisher, Lynch, Peterson 1985] Theorem 1: Any atomic broadcast algorithm solves consensus.

- Everybody does an Atomic Broadcast
- Decides first value delivered

Theorem 2: Atomic broadcast is impossible in any asynchronous system if one process can halt.

#### Total ordering using a sequencer



Consensus is solvable in:

- Synchronous systems (we will discuss such an algorithm that works in f+1 rounds)
- Certain semi-synchronous systems

Consensus is also solvable in

- Asynchronous systems with randomization
- Asynchronous systems with failure-detectors

## SLIDES FROM THE BOOK TO HAVE A LOOK AT

- Please check aslo the slides from your book.
- I appned them here.

Teaching material based on Distributed Systems: Concepts and Design, Edition 3, Addison-Wesley 2001.



Distributed Systems Course Coordination and Agreement

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Viewing: These slides must be viewed in slide show mode.

#### •11.4 Multicast communication

- •this chapter covers other types of coordination and agreement such as mutual exclusion, elections and consensus. We will study only multicast.
- •But we will study the two-phase commit protocol for transactions in Chapter 12, which is an example of consensus
- •We also omit the discussion of failure detectors which is relevant to replication

# Give two reasons for restricting the scope of a multicast message

- IP multicast an implementation of group communication
  - built on top of IP (note IP packets are addressed to computers)
  - allows the sender to transmit a single IP packet to a set of computers that form a multicast group (a class D internet address with first 4 bits 1110)
  - Dynamic membership of groups. Can send to a group with or without joining it
  - To multicast, send a UDP datagram with a multicast address
  - To join, make a socket join a group (s.joinGroup(group) Fig 4.17) enabling it to receive messages to the group
- Multicast routers
  - Local messages use local multicast capability. Routers make it efficient by choosing other routers on the way.
- Failure model
  - Omission failures  $\Rightarrow$  some but not all members may receive a message.
    - e.g. a recipient may drop message, or a multicast router may fail
  - IP packets may not arrive in sender order, group members can receive messages in different orders

#### What is meant by[the term broadcast?

- Multicast communication requires coordination and agreement. The aim is for members of a group to receive copies of messages sent to the group
- Many different delivery guarantees are possible
   e.g. agree on the set of messages received or on delivery ordering
- A process can multicast by the use of a single operation instead of a send to each member
  - For example in IP multicast aSocket.send(aMessage)
  - The single operation allows for:
    - efficiency I.e. send once on each link, using hardware multicast when available, e.g. multicast from a computer in London to two in Beijing
    - *delivery guarantees* e.g. can't make a guarantee if multicast is implemented as multiple sends and the sender fails. Can also do ordering

## System model

- The system consists of a collection of processes which can communicate *reliably* over 1-1 channels
- Processes fail only by crashing (no arbitrary failures)
- Processes are members of groups which are the destinations of multicast messages
- In general process *p* can belong to more than one group
- Operations
  - multicast(g, m) sends message m to all members of process group g
  - *deliver* (*m*) is called to get a multicast message delivered. It is different from *receive* as it may be delayed to allow for ordering or reliability.
- Multicast message *m* carries the id of the sending process sender(m) and the id of the destination group group(m)
- We assume there is no falsification of the origin and destination of messages

#### Does IP multicast support open and closed groups?

- Closed groups
  - only members can send to group, a member delivers to itself
  - they are useful for coordination of groups of cooperating servers
- Open
  - they are useful for notification of events to groups of interested processes



#### How do we achieve integrity?

- The term *reliable 1-1 communication* is defined in terms of *validity* and *integrity* as follows:
- validity:
  - any message in the outgoing message buffer is eventually delivered to the incoming message buffer;
- integrity:
  - the message received is identical to one sent, and no messages are delivered twice.

*validity -* by use of acknowledgements and retries

#### integrity

- by use checksums, reject duplicates (e.g. due to retries).
- If allowing for malicious users, use security techniques

#### What are ack-implosions?

- A correct process will eventually deliver the message provided the **multicaster does not crash** 
  - note that IP multicast does not give this guarantee
- The primitives are called *B-multicast* and *B-deliver*
- A straightforward but ineffective method of implementation:
  - use a reliable 1-1 send (i.e. with integrity and validity as above)
     To B-multicast(g,m): for each process p ε g, send(p, m);
     On receive (m) at p: B-deliver (m) at p
- Problem
  - if the number of processes is large, the protocol will suffer from *ack-implosion*

A practical implementation of Basic Multicast may be achieved over IP multicast (on next slide, but not shown)

#### 11.4.2 Reliable multicast

- The protocol is correct even if the multicaster crashes
- it satisfies criteria for validity, integrity and agreement
- it provides operations *R-multicast* and *R-deliver*
- Integrity a correct process, p delivers m at most once.
   Also p ε group(m) and m was supplied to a multicast operation by sender(m)
- Validity if a correct process multicasts *m*, it will eventually deliver *m*
- Agreement if a correct process delivers m then all correct processes in group(m) will eventually deliver m

integrity as for 1-1 communication

validity - simplify by choosing sender as the one process

agreement - all or nothing - atomicity, even if multicaster crashes •

Agreement - every correct process *B-multicasts* the message to the others. If *p* does not *R-deliver* then this is because it didn't *B-deliver* - because no others did either.

 processes ( to R-multicast a message, a process B-multicasts it to processes in the group including itself



#### Reliable multicast over IP multicast (page 440)

- This protocol assumes groups are closed. It uses:
  - piggybacked acknowledgement messages
  - negative acknowledgements when messages are missed
- the piggybacked values in a message allow recipients to learn about messages they have not yet received
  - $R^{q}_{q}$  sequence number of latest message received from process q to g
- For process *p* to *R-multicast* message *m* to group *g* 
  - piggyback  $S_{g}^{p}$  and +ve acks for messages received in the form <q,  $R^{q}g$  >
  - IP multicasts the message to g, increments  $S^{p}_{g}$  by 1
- •A process on receipt by of a message to g with S from p
  - -Iff S= $R^{p}_{g}$ +1 *R*-deliver the message and increment  $R^{p}_{g}$  by 1
  - –If S≤  $R^{p}_{g}$  discard the message
  - -If  $S > R_g^p + 1$  or if  $R < R_g^q$  (for enclosed ack < q, R >)
    - •then it has missed messages and requests them with negative acknowledgements
    - puts new message in hold-back queue for later delivery

#### The hold-back queue for arriving multicast messages

• The hold back queue is not necessary for reliability as in the implementation using IP multicast, but it simplifies the protocol, allowing sequence numbers to represent sets of messages. Hold-back queues are also used for ordering protocols.



## Reliability properties of reliable multicast over IP

- Integrity duplicate messages detected and rejected.
   IP multicast uses checksums to reject corrupt messages
- Validity due to IP multicast in which sender delivers to itself
- Agreement processes can detect missing messages. They must keep copies of messages they have delivered so that they can re-transmit them to others.
- discarding of copies of messages that are no longer needed :
  - when piggybacked acknowledgements arrive, note which processes have received messages. When all processes in *g* have the message, discard it.
  - problem of a process that stops sending use 'heartbeat' messages.
- This protocol has been implemented in a practical way in Psynch and Trans (refs. on p442)

### 11.4.3 Ordered multicast

- The basic multicast algorithm delivers messages to processes in an arbitrary order. A variety of orderings may be implemented:
- FIFO ordering
  - If a correct process issues *multicast(g, m)* and then *multicast(g,m')*, then every correct process that delivers *m'* will deliver *m* before *m'*.
- Causal ordering
  - If  $multicast(g, m) \rightarrow multicast(g, m')$ , where  $\rightarrow$  is the happened-before relation between messages in group g, then any correct process that delivers m' will deliver m before m'.
- Total ordering
  - If a correct process delivers message *m* before it delivers *m*', then any other correct process that delivers *m*' will deliver *m* before *m*'.
- Ordering is expensive in delivery latency and bandwidth consumption

#### Total, FIFO and causal ordering of multicast messages

Notice the consistent ordering of totally ordered messages  $T_1$ and  $T_2$ . They are opposite to real time. The order can be arbitrary it need not be FIFO or causal

Note the FIFO-related messages  $F_1$  and  $F_2$ 

and the causally related messages  $C_1$  and  $C_3$ 

these definitions do not imply reliability, but we can define *atomic multicast* - reliable and totally ordered.



FIFO or causal) are chosen for applications for which they are suitable



#### Display from a bulletin board program

- Users run bulletin board applications which multicast messages
- One multicast group per topic (e.g. os.interesting)
- Require reliable multicast so that all members receive messages
- Ordering:

total (makes the numbers the same at all sites)

	Bulletin board: os. interesting		
Item	From	Subject	causal (makes replies
23	A.Hanlon	Mach	come after original message)
24	G.Joseph	Microkernels	
25	A.Hanlon	Re: Microkernels	
26	T.L'Heureux	RPC performance	
27	M.Walker	Re: Mach	Figure 11.13
end F	FIFO (gives sender or	der	•

#### Implementation of FIFO ordering over basic multicast

- We discuss FIFO ordered multicast with operations *FO-multicast* and *FO-deliver* for non-overlapping groups. It can be implemented on top of any basic multicast
- Each process p holds:
  - $S_{g}^{p}$  a count of messages sent by *p* to *g* and
  - $R^{q}_{g}$  the sequence number of the latest message to g that p delivered from q
- For p to FO-multicast a message to g, it piggybacks S<sup>p</sup><sub>g</sub> on the message, B-multicasts it and increments S<sup>p</sup><sub>g</sub> by 1
- On receipt of a message from q with sequence number S, p checks whether  $S = R^{q}_{a} + 1$ . If so, it *FO-delivers* it.
- if S > R<sup>q</sup><sub>g</sub> + 1 then p places message in hold-back queue until intervening messages have been delivered. (note that Bmulticast does eventually deliver messages unless the sender crashes)

#### Implementation of totally ordered multicast

- The general approach is to attach *totally ordered identifiers* to multicast messages
  - each receiving process makes ordering decisions based on the identifiers
  - similar to the FIFO algorithm, but processes keep group specific sequence numbers
  - operations *TO-multicast* and *TO-deliver*
- we present two approaches to implementing total ordered multicast over basic multicast
  - 1. using a sequencer (only for non-overlapping groups)
  - 2. the processes in a group collectively agree on a sequence number for each message

#### Total ordering using a sequencer



#### Members that do not multicast send heartbeat messages (with a sequence number)

- Since sequence numbers are defined by a sequencer, we have total ordering.
- Like B-multicast, if the sender does not crash, all members receive the message

# What are the potential problems with using a single sequencer?

#### Kaashoek's protocol uses hardware-based multicast

The sender transmits one message to sequencer, then the sequencer multicasts the sequence number and the message but IP multicast is not as reliable as B-multicast so the sequencer stores messages in its history buffer for retransmission on request members notice messages are missing by inspecting sequence numbers

#### The ISIS algorithm for total ordering

this protocol is for open or closed groups



#### ISIS total ordering - agreement of sequence numbers

- Each process, *q* keeps:
  - $A^{q}_{g}$  the largest agreed sequence number it has seen and
  - $P^{q}_{g}$  its own largest proposed sequence number
- 1. Process *p B*-*multicasts* <*m*, *i*> to *g*, where *i* is a unique identifier for *m*.
- 2. Each process *q* replies to the sender *p* with a proposal for the message's agreed sequence number of
  - $P^{q}_{g} := Max(A^{q}_{g}, P^{q}_{g}) + 1.$
  - assigns the proposed sequence number to the message and places it in its hold-back queue
- 3. *p* collects all the proposed sequence numbers and selects the largest as the next agreed sequence number, *a*. It *B-multicasts* <*i*, *a*> to *g*. Recipients set A<sup>q</sup><sub>g</sub> := Max(A<sup>q</sup><sub>g</sub>, *a*), attach *a* to the message and re-order hold-back queue.

## Discussion of ordering in ISIS protocol

- Hold-back queue proof of total ordering on page 448
- ordered with the message with the smallest sequence number at the front of the queue
- when the agreed number is added to a message, the queue is re-ordered
- when the message at the front has an agreed id, it is transferred to the delivery queue
  - even if agreed, those not at the front of the queue are not transferred
- every process agrees on the same order and delivers messages in that order, therefore we have total ordering.
- Latency
  - 3 messages are sent in sequence, therefore it has a higher latency than sequencer method
  - this ordering may not be causal or FIFO

#### Causally ordered multicast

- We present an algorithm of Birman 1991 for causally ordered multicast in non-overlapping, closed groups. It uses the *happened before* relation (on multicast messages only)
  - that is, ordering imposed by one-to-one messages is not taken into account
- It uses vector timestamps that count the number of multicast messages from each process that happened before the next message to be multicast

#### Causal ordering using vector timestamps

each process has its own vector timestamp

Algorithm for group member  $p_i$ 

On initialization  

$$V_i^g[j] := 0 \ (j = 1, 2..., N),$$

To <u>CO-multicas</u>t message m to gr

To CO-multicast m to g, a process adds 1 to its entry in the vector timestamp and B-multicasts m and the vector timestamp

When a process *B*-delivers *m*, it places it in a hold-back queue until messages earlier in the causal ordering have been delivered:-

 $V_{i}^{g}[i] := V_{i}^{g}[i] + 1;$ 

 $B-multicast(g, \langle V_i^g, m \rangle);$  a) earlier messages from same sender have been delivered  $On B-deliver(\langle V_j^g, m \rangle) from$ b) any messages that the sender had delivered when it place  $\langle V_j^g, m \rangle$  in hold-bac and the multicast message have been delivered wait until  $V_{j}^{g}[j] = V_{i}^{g}[j] + 1$  and  $V_{j}^{g}[k] \le V_{i}^{g}[k] \ (k \ne j);$ <u>CO-deliver</u> *m*; // after removing it from the hold-back queue  $V_i^g[j] := V_i^g[j] + 1;$  Figure 11. then it CO-delivers the message and

Note: a process can immediately CO-deliver to itself its own messages (not shown)

updates its timestamp

#### Comments

- after delivering a message from p<sub>j</sub>, process p<sub>i</sub> updates its vector timestamp
  - by adding 1 to the *j*th element of its timestamp
- compare the vector clock rule where
   V<sub>i</sub>[*j*] := max(V<sub>i</sub>[*j*], *t*[*j*]) for *j*=1, 2, ...N
   in this algorithm we know that only the *j*th element will increase
- for an outline of the proof see page 449
- if we use *R-multicast* instead of *B-multicast* then the protocol is reliable as well as causally ordered.
- If we combine it with the sequencer algorithm we get total and causal ordering

#### Comments on multicast protocols

- we need to have protocols for overlapping groups because applications do need to subscribe to several groups
- definitions of 'global FIFO ordering' etc on page 450 and some references to papers on them
- multicast in synchronous and asynchronous systems
   all of our algorithms do work in both
- reliable and totally ordered multicast
  - can be implemented in a synchronous system
  - but is impossible in an asynchronous system (reasons discussed in consensus section - paper by Fischer et al.)

## Summary

- Multicast communication can specify requirements for reliability and ordering, in terms of integrity, validity and agreement
- B-multicast
  - a correct process will eventually deliver a message provided the multicaster does not crash
- reliable multicast
  - in which the correct processes agree on the set of messages to be delivered;
  - we showed two implementations: over B-multicast and IP multicast
- delivery ordering
  - FIFO, total and causal delivery ordering.
  - FIFO ordering by means of senders' sequence numbers
  - total ordering by means of a sequencer or by agreement of sequence numbers between processes in a group
  - causal ordering by means of vector timestamps
- the hold-back queue is a useful component in implementing multicast protocols